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Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Modified) PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)
(37 CFR 1.98(b))

ATTY. DOCKET NO. SERIAL NO. 08/739,264
APPLICANT William E. Marshall et al
FILING DATE October 29, 1996 GROUP 1804 1815/643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MKB	5,413,785	05/95	Nanji	424	93.45	3/94
MKB	4,314,995	02/82	HATA	424	93	
MKB	4,975,467	12/90	KU ET AL	514	712	
MKE	4,849,506	07/89	RANSOM ET AL	530	351	
MKB	5,082,657	01/92	RANSOM	424	85.1	
MKB	5,055,447	10/91	PALLADINO ET AL	514	12	
MKB	5,041,427	08/91	TAKAYAMA ET AL	514	53	
MKB	5,158,939	10/92	TAKAYAMA ET AL	514	53	
MKB	5,157,039	10/92	NIELSEN ET AL	514	3u	
MKB	5,082,838	01/92	NAKA ET AL	514	211	
MKB	5,151,498	09/92	BUESCHER ET AL	530	372	
MKB	4,347,240	08/82	MUTAI ET AL	424	282.1	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
1,298,556	04/07/92	CANADA	-	-	
EP 0 416 892 A1	03/13/91	EUROPEAN PATENT AP	-	-	

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

MKB	Brain, Ch. 14, Handbook of Physiology - The Respiratory System I (1985)
MKB	Kinoshita et al., Int. J. Immunopharmac. 14(2):205-211 (1992)
MKB	Raybourne, et al., Infect. and Immun. 62:665-672 (Feb. 1994)
MKB	Simpson et al., Crit. Care Med., 19:1060-1066 (1991)
MKB	Perkins et al., Am. J. Resp. Cell Biol., 4:532 (1991)
MKE	Kato et al., Microbiol. Immunol., 27(7):611-618 (1983)
MKE	Gold et al., Infect. & Immun. 49:731-741 (September 1985)
MKE	Archer et al., U.K. Biochem Soc. Trans. 19:404S (July 1991)
MKB	Kantengwa et al., Infect. & Immun., 61:1281-1287 (April 1993)
MKB	Agace et al., Infect. & Immun., 61:602-609 (February 1993)

EXAMINER MKB DATE CONSIDERED 6/24/97

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Information Disclosure Statement - Section 2. FORM PTO-1449 (Modified))

☒ Sheet 2 of 4

Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))	ATTY. DOCKET NO.	SERIAL NO. 08/739,264
	APPLICANT William E. Marshall et al	
	FILING DATE October 29, 1996	GROUP 1804 1643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MKB	3,953,609	04/76	FARR	426	2	
MKB	4,314,995	02/82	HATA ET AL	2124	93.45	
MKB	4,579,734	04/86	HATA ET AL	424	93.45	
MKB	3,984,575	10/76	FARR	426	61	
MKB	3,320,130	05/67	HENRY			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

MKB	Popova et al <u>Inf. & Immunopharm</u> , 15(1):25-37 (1993)
MKB	Kineshita et al <u>Inf. & Immunopharm</u> , 14(2):205-211 (Feb. 1992)
MKB	Perdigon et al <u>J. of Food Protection</u> , 19(12):986-989 (Dec. 1986)
MKB	Perdigon et al <u>J. of Dairy Sci.</u> , 70(5):919-926 (1986)
MKB	Kuwabara et al ... <u>Vet. Sci.</u> , 50(3):665-672 (1988)
MKB	Perdigon et al <u>Infect. and Immunity</u> , 53(2):404-410 (1986)
MKB	Kato et al., <u>Microbiol. Immunol.</u> , 27:611-618 (May 1983)
MKB	Mitsuoka, <u>J. Indus. Micro.</u> , 6:263-268 (1990)
MKB	DeSimone et al., <u>Immunopharm. & Immunotox.</u> , 14:331-340 (1992)
MKB	Perdigon et al., <u>J. Food Protection</u> , 53:404-410 (May 1990)
MKB	Perdigon et al., <u>Immunology</u> , 63:17-23 (1988)
MKB	Perdigon et al., <u>J. Dairy Res.</u> , 57:255-264 (1990)

EXAMINER

MKB

DATE CONSIDERED

6/24/97

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(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified))

☒ Sheet 3 of 4

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	APPLICANT William E. Marshall et al	
	FILING DATE October 29, 1996	GROUP 1804 <i>1643</i>

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

<i>MKZ</i>		Guencheva et al <u>Inf & Immuno</u> , 14(8):1429-1436 (1992)
<i>MKZ</i>		Lidbeck et al <u>Human Health: The Contribution of Microorganisms</u> et. Saw Gibson, Springer Valae, New York, Chap. 6, pp 95-110 (1994)
<i>MKZ</i>		Lidbeck et al <u>Scand. To Infect Dis.</u> , 19:531-537 (1987)
<i>MKZ</i>		Lidbeck et al <u>J. of Concv. Prev.</u> , 1:341-353 (1992)
<i>MKZ</i>		Lessard <u>Can J. Anim. Sci.</u> , 67:509-516 (june 1987)
<i>MKZ</i>		Hack et al <u>Infect. & Immun.</u> , 60:2835-2842 (July 1992)
<i>MKZ</i>		Perdigon et al., <u>J. Dairy Res.</u> 57:255-264 (1990)
<i>MKZ</i>		DeSimone et al., <u>Dietetics in the 90's, Role of the Dietician/Nutritionist</u> , pp. 177-180 (1988)
<i>MKZ</i>		Zeigler-Heitbrock, et al., <u>Int. J. Cancer</u> , 41:456-461 (1988)
<i>MKZ</i>		Bergy's <u>Manual of Systematic Bacteriology</u> 2, 2:1208-1234, Williams & Wilkins, Baltimore, MD (1986)
<i>MKZ</i>		Schleifer, et al., <u>Bacter. Rev.</u> 36:407, Fig. 9 (1972)

EXAMINER <i>MKZ</i>	DATE CONSIDERED <i>6/24/97</i>
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

